

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian Swander et al. Examiner: Not Yet Assigned
Serial No.: 10/713,980 Group Art Unit: 2131
Filed: 11/14/2003 Docket No.: 14917.0474US01 (formerly
M1103.70160US00)
Title: METHOD OF NEGOTIATING SECURITY PARAMETERS AND
AUTHENTICATING USERS INTERCONNECTED TO A NETWORK

CERTIFICATE UNDER 37 C.F.R. 1.8:

I hereby certify that this correspondence is being transmitted electronically to the U.S. Patent Office on October 2, 2006.

By: 
Name: Jennifer WeckSUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of any foreign patent document or "Other Document" listed on the Form 1449 is enclosed, in accordance with 37 C.F.R. § 1.98(a)(2). Because this application was filed after June 30, 2003, copies of the U.S. Patents and U.S. patent publications listed on the enclosed Form 1449 are not provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

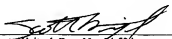
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

Dated: 10/2/06





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FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 14917.0474US01 (formerly M1103.70169US00)	Application Number: 10/713,980
	Applicant: Swander et al.	
	Filing Date: 11/14/2003	Group Art Unit: 2131

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	7062654	June 13, 2006	Millen; Jonathan			November 9, 2001
	6959336	October 25, 2005	Moreh; Jahanshah			April 7, 2001
	6643774	November 4, 2003	McGarvey; John Ryan			April 8, 1999
	5815574	September 29, 1998	Fortinsky; Michael S.			November 28, 1995
	5544322	August 6, 1996	Cheng; Pau-Chen			May 9, 1994
	5442342	August 29, 1990	Kung; Kenneth C.			August 15, 1995
	5241594	August 31, 1993	Kung; Kenneth C.			June 2, 1992
	5220603	June 15, 1993	Parker; Thomas A.			February 25, 1992
	20060101149	March 19, 1999	Deiningger; Andreas			October 21, 2005
	20050144463	March 18, 2002	Rossebo, Judith			June 30, 2005
	20030212806	November 13, 2003	Mowers, David R.			May 10, 2002
	20020178377	November 28, 2002	Hemsath, David Kurt			March 21, 2001

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
		Mark Vandenwauver, Ren'e Govaerts, Joos Vandewalle, "How Role Based Access Control is implemented in SESAME," Publication Date: 1997. http://www.cosic.esat.kuleuven.ac.be/sesame/papers/wetice97.pdf					

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

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	D.W.Chadwick, A. Otenko, "RBAC policies in xml for x.509 based privilege management," Publication Date: May 2002. http://sec.cs.kent.ac.uk/download/Sec2002Final.pdf (http://citeseer.ist.psu.edu/context/2397834/0)
	"Unified Login with Pluggable Authentication Modules (PAM)," Conference on Computer and Communications Security, Proceedings of the 3rd ACM conference on Computer and communications security, Publication Date: 1996, Pages: 1 - 10 http://delivery.acm.org/10.1145/240000/238177/p1-samar.pdf?key1=238177&key2=6437033511&coll=GUIDE&dl=GUIDE&CFID=1805311&CFTOKEN=10796813)
	Niamh Quinn, Mark Smith, Petra Hoepner, Eric Malville, Tom-Arthur, "EURESCOM Technical Information," Technology Assessment of Middleware for Telecommunications, Publication Date: July 2001 http://www.eurescom.de/-pub-deliverables/p900-series/P910/TI25/p910ti25.pdf
	William A. Adamson, Jim Rees, and Peter Honeyman, "Joining Security Realms: A Single Login for NetWare and Kerberos," Proceedings of the Fifth USENIX UNIX Security Symposium, Publication Date: June 1995. http://www.usenix.org/publications/library/proceedings/security95/full_papers/adamson.ps
	Aboba, et al., "RFC 3748 - Extensible Authentication Protocol (EAP)," Network Working Group, June 2004.
	Harkins, et al., "RFC 2409 - The Internet Key Exchange (IKE)," Network Working Group, November 1998.
	Kaufman, C., "RFC 4306 - Internet Key Exchange (IKEv2) Protocol," Network Working Group, December 2005.

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